

Pipeline and Hazardous Materials Safety Administration  
Hazardous Materials Registration Program  
Registration Program Summary Report  
FY 2017

The Pipeline and Hazardous Materials Safety Administration's (PHMSA), Hazardous Materials Emergency Preparedness Grant Program (the program) is funded by registration fees collected from hazardous materials (hazmat) shippers and carriers who offer for transportation or transport certain hazmat in intrastate, interstate, or foreign commerce. These fees fund training and planning grants, monitoring and technical assistance, curriculum development, and staffing costs. Registration fees also fund the publication and distribution of the Emergency Response Guidebook (ERG). Federal hazardous material transportation law (49 U.S.C. 5101 et seq., federal hazmat law) requires offerors and transporters of certain hazardous materials to file a registration statement and to pay an annual registration fee. Each registration year (RY) is the one-year period from July 1 to June 30. The fiscal year (FY) is the accounting period for the federal government which begins on October 1 and ends on September 30. The fiscal year is designated by the calendar year in which it ends; for example, fiscal year 2017 begins on October 1, 2016 and ends on September 30, 2017.

Below is an annual summary of the fee collections (excluding processing fees) and fund balance.

**Pipeline Hazardous Safety Materials Administration**  
**Schedule of Available Fund Balance**  
**FY 2015 – FY 2017**

	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>
<b>Opening Fund Balance (10/1)</b>	<b>\$ 59,187,192</b>	<b>\$ 64,188,615</b>	<b>\$ 62,413,638</b>
Plus: Fees Collected	28,323,132	25,448,576	24,679,987
Less: Payments	(23,321,709)	(27,223,553)	(23,410,784)
<b>Ending Fund Balance</b>	<b>64,188,615</b>	<b>62,413,638</b>	<b>63,682,841</b>
<b>Obligations</b>			
Less: Obligations	(51,593,902)	(44,737,992)	(50,087,662)
Plus: Returned Grant Award Funding*	5,941,649	20,313	2,427,716
<b>Net Obligations</b>	<b>(45,652,253)</b>	<b>(44,717,679)</b>	<b>(47,659,946)</b>
<b>Fund Balance</b>	<b>18,536,362</b>	<b>17,695,959</b>	<b>16,022,895</b>
Less: Registration Fees Received in Advance	(9,777,775)	(8,757,825)	(7,391,775)
<b>Available Fund Balance</b>	<b>\$ 8,758,587</b>	<b>\$ 8,938,134</b>	<b>\$ 8,631,120</b>

\* Please note, the \$5,941,649 and \$2,427,716 in Returned Grant Award Funding were used to fund the ALERT grant program in FY15 and FY17

**Pipeline Hazardous Safety Materials Administration  
Schedule Available Fund Balance After Planned Uses  
FY 2018**

Of the available fund balance in FY 2017, the program plans to carry the entire balance forward to FY 2018 for use on the following:

<b>Available Fund Balance 10/1</b>	<b>\$ 8,631,120</b>
Less: Hazardous Materials Instructor Training Grants	(3,154,994)
Less: Supplemental Public Sector Training Grants	(931,000)
Less: Registration Program Processing Fees	(618,750)
Less: Grants Program Costs	<u>(1,754,935)</u>
<b>Available Fund Balance after Planned Uses</b>	<b><u>\$ 2,171,441</u></b>

The following tables show the number of registration collected from the hazardous materials registrants by registration year and the type of business. The table also show amount of registration collection and how it is planned to be used to support the grants programs.

**Registration Collections Received in FY 2017 (Includes fees paid in advance for use in future registration years).**

Number of registrations	34,971
Total collections received in FY 2017 (Includes Processing Fee)	\$24,679,987
Processing fee collections	\$586,050

**Total Registration Collections Since Program Inception (FY 1992 through FY 2017)**

Number of registrations	757,616
Total registration collections since program inception (Includes Processing Fee)	\$433,671,754
Processing fee collections	\$24,747,022

**Registrations Submitted in FY 2017 That Included Survey Information Other Than Category G: “Did Not Engage in Any of the Activities” in the Previous Year**

Total number of registrations	23,356
Number registrations for FY 2017	21,672
*Number that marked “Shipper”	13,829
Number registrations for FY 2017	12,655
Small business	9,095
Not small business	3,560
*Number that marked “Carrier”	12,376
Number registrations for FY 2017	11,745
Small business	9,797
Not small business	1,948
**Number that marked “Other”	1,345
Number registrations for FY 2017	1,238
Small business	893
Not small business	345

\* Number includes 3,859 that marked both “Carrier” and “Shipper”

\*\* Number includes 574 that also marked “Carrier” or “Shipper” or both

**Breakdown of Registration Collections Received in FY 2017 (Small and Large Business)**

	Total Number of Registrants	Percentage	Registration Collections ( <i>Less Processing Fees</i> )	Processing Fees
<b>Small Businesses (or Not-for-profit):</b>				
Collections Received for Current RY (2017)	16,723	59%	\$4,187,075	\$388,150
Prior Years Late Registrations Received in Current RY (2017)	2,867	10%	\$711,250	\$65,025
Collections Received for Future RY (2018)	5,233	19%	\$1,307,500	\$0
Collections Received for Future RY (2019)	3,369	12%	\$842,250	\$0
<b>Small Business Total</b>	<b>28,192</b>		<b>\$7,048,075</b>	<b>\$453,175</b>
<b>Other than Small Businesses/Large Business:</b>				
Collections Received for Current RY (2017)	5,029	74%	\$12,722,281	\$121,950
Prior Years Late Registrations Received in Current RY (2017)	487	7%	\$1,199,500	\$10,925
Collections Received for Future RY (2018)	731	11%	\$1,807,925	
Collections Received for Future RY (2019)	532	8%	\$1,318,750	
<b>Other Than Small Businesses Total</b>	<b>6,779</b>		<b>\$17,048,456</b>	<b>\$132,875</b>
<b>Total Fee Collections Received in FY 2017 (Small and Large Business)</b>				
Collections Received for Current RY (2017)	21,752	62%	\$16,909,356	\$510,100
Prior Years Late Registrations Received in Current RY (2017)	3,354	10%	\$1,910,750	\$75,950
Collections Received for Future RY (2018)	5,964	17%	\$3,115,425	\$0
Collections Received for Future RY (2019)	3,901	11%	\$2,161,000	\$0
<b>Total FY 2017 Collections</b>	<b>34,971</b>		<b>\$24,096,531</b>	<b>\$586,050</b>
<b>Total Collections Received During FY 2017</b>			<b>\$24,682,581</b>	

**Registration Collections for Prior RYs (1992 to 2017) Collected During FY 2017**

Registration Years	Number of Registrants	Registration Collections (\$)	Processing Fee (\$)
1992-1999	0	0	0
2000-2001	4	1,100	100
2001-2002	4	1,100	25
2002-2003	4	1,100	25
2003-2004	4	650	100
2004-2005	7	1,025	100
2005-2006	7	1,025	25
2006-2007	7	2,475	100
2007-2008	8	2,725	125
2008-2009	12	3,725	125
2009-2010	12	4,450	125
2010-2011	14	8,150	175
2011-2012	16	10,975	150
2012-2013	22	17,125	275
2013-2014	37	15,200	575
2014-2015	59	44,975	725
2015-2016	207	130,825	4,125
2016-2017	2,930	1,664,125	69,075
<b>Total Prior Years Late Registrations Received in RY 2017*</b>	<b>3,354</b>	<b>\$1,910,750</b>	<b>\$75,950</b>
<i>*Note: Some Registrants make late registrations after the Registration Period has closed</i>			

**Registration Funds Collected For Use in FY 2017 Obligations**

	Registrations Collections	Processing Fee
Funds collected in RY 2015 for FY 2017	\$3,315,675	
Funds collected in RY 2016 for FY 2017	3,352,975	
Funds collected in RY 2017 for FY 2017	16,909,356	\$510,100
<b>Total Collected for FY 2017</b>	<b><u>23,578,006</u></b>	<b><u>510,100</u></b>
Prior Years Late Registrations Received in RY 2017	<b><u>1,910,750</u></b>	<b><u>75,950</u></b>
<b>Total Collections for Use in FY 2017</b>	<b><u>\$25,488,756</u></b>	<b><u>\$586,050</u></b>

**Registration Funds Collected in Advance\* - For Use in Future FYs Obligations**

	Registrations Collections	Processing Fee
Funds collected in RY 2016 for FY2018	\$2,115,350	
Funds collected in RY 2017 for FY 2018	3,115,425	
Funds Collected in RY 2017 for use in FY 2019	2,161,000	
<b>Total Funds Collected in Advance for Use in Future FYs</b>	<b><u>\$7,391,775</u></b>	<b><u>0</u></b>
<i>*Note: Registrants are allowed to register for up to 3 years in advance</i>		